

DOCKET NO.: BELL-0104/01030

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

**Dale W. Malik**

**Confirmation No.: 2096**

**Application No.: 09/888,719**

**Group Art Unit: 2141**

**Filing Date: June 25, 2001**

**Examiner: Nguyen, Quang N**

**For: SYSTEM AND METHOD FOR REGULATING ELECTRONIC MESSAGES**

**EXPRESS MAIL LABEL NO: EV 325724635 US**

**DATE OF DEPOSIT: February 11, 2005**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or

before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☒ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **2 thru 4** listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

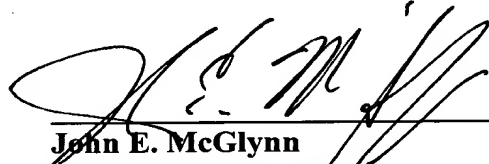
- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date:

2/11/05

  
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John E. McGlynn  
Registration No. 42,863

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One Liberty Place - 46th Floor  
Philadelphia, PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

**Form PTO-1449 Modified**Docket No.  
**BELL-0104/01030**Application No.  
**09/888,719**List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)Applicant  
**Dale W. Malik**U.S. Department of Commerce  
Patent and Trademark OfficeFiling Date  
**June 25, 2001**Group  
**2141**Confirmation No.  
**2096****U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	<b>2</b>	6,047,310	04/04/00	Kamakura, et al.	709	201
	<b>3</b>	6,088,696	07/11/00	Moon, et al.	707	10
	<b>4</b>	2002/0120702	08/29/02	Schiavone, et al.	709	207

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

**EXAMINER****DATE CONSIDERED**



**RECEIVED BY THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**Paper:** Information Disclosure Statement (in dupl. w/first class mail certification);, Form PTO-1449 (1 page) 1 reference cited/1 reference submitted; return postcard; all sent via first class mail

**Applicant(s):** Dale W. Malik

**Title:** System And Method For Regulating Electronic Messages

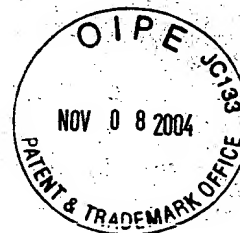
**Application No.:** 09/888,719

**Filed:** June 25, 2001

**Docket No.:** BELL-0104/01030

**Date Sent:** November 4, 2004

**Sent By:** Rocci/McGlynn/Ader



DOCKET NO.: BELL-0104/01030

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Dale W. Malik

Application No.: 09/888,719

Filing Date: June 25, 2001

Confirmation No.: 2081

Group Art Unit: 2152

Examiner: Not Yet Assigned

For: SYSTEM AND METHOD FOR REGULATING ELECTRONIC MESSAGES

DATE OF DEPOSIT: 11/4/04

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: John E. McGlynn  
REGISTRATION NO.: 42,863

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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- ☒ Copies of reference numbers 1 listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

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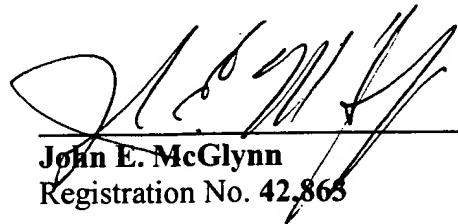
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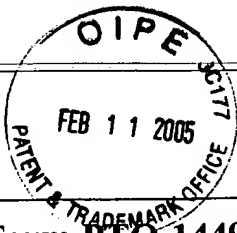
11/4/04

  
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>BELL-0104/01030</b>	Application No. <b>09/888,719</b>
	Applicant <b>Dale W. Malik</b>	
	Filing Date <b>June 25, 2001</b>	Group <b>2152</b>
	Confirmation No. <b>2081</b>	

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	1	09/888,718	06/25/01	Dale W. Malik		

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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